Original Article

The lung microbiota in children with cystic fibrosis captured by induced sputum sampling

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A B S T R A C T

Background: Spatial topography of the cystic fibrosis (CF) lung microbiota is poorly understood in childhood. How best to sample the respiratory tract in children for microbiota analysis, and the utility of microbiota profiling in clinical management of early infection remains unclear. By comparison with bronchoalveolar lavage (BAL), we assessed the ability of induced sputum (IS) sampling to characterise the lower airway microbiota.

Methods: Sample sets from IS and two or three matched BAL compartments were obtained for microbiota analysis as part of the CF-Sputum Induction Trial (UKCRN_14615, ISRCTN_12473810). Microbiota profiles and pathogen detection were compared between matched samples.

Results: Twenty-eight patients, aged 1.1-17.7 years, provided 30 sample sets. Within-patient BAL comparisons revealed spatial heterogeneity in 8/30 (27%) sample sets indicating that the lower airway microbiota from BAL is frequently compartmentalised in children with CF. IS samples closely resembled one or more matched BAL compartments in 15/30 (50%) sets, and were related in composition in a further 9/30 (30%). IS detected 86.2% of the Top 5 genera found across matched BAL samples. The sensitivity of IS to detect specific CF-pathogens identified in matched BAL samples at relative abundance ≥5% varied between 43 and 100%, with negative predictive values between 73 and 100%.

Conclusions: Spatial heterogeneity of the lower airway microbiota was observed in BAL samples and presents difficulties for consistent lung sampling. IS captured a microbiota signature representative of the lower airway in 80% of cases, and is a straightforward, non-invasive intervention that can be performed frequently to aid pathogen diagnosis and understand microbiota evolution in children with CF.

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1. Introduction

Lung infection and progressive lung disease is the major cause of morbidity and mortality in cystic fibrosis (CF) [1]. Identifying and treating lower airway infection is therefore critical. This is comparatively straightforward in adults and older children, who have a high bacterial load, frequently have pathogen-dominated disease and can spontaneously expectorate. Younger children with CF have milder disease, less pathogen-dominance, lower bacterial load and are often incapable of spontaneously expectorating sputum even if actively coughing during an exacerbation.

Oropharyngeal cough swabs or throat swabs are a well-tolerated approach to bacterial surveillance but are poorly representative of the lower airway [2]. Bronchoalveolar lavage (BAL) is considered to be the gold standard [3] but is an invasive procedure and the potential detrimental effects of repeated general anaesthetic on child development suggests these procedures should be minimised [4]. Sputum induction is a simple, well tolerated and frequently repeatable approach to sampling the lower airway in children who are unable to expectorate spontaneously [5–9].

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In the CF Sputum Induction Trial (CF-SpIT) [6] where induced sputum samples (IS) were compared to matched six-lobe BAL, IS performed as well as the gold standard two-lobe BAL in a sensitivity analysis to detect all pathogens identified on all samples using culture microbiology. These observations suggested that IS is capable of sampling widely from the bronchoalveolar compartment and is a composite reflection of multiple lower airway niches. To extend these investigations, we applied culture-independent microbiota analysis to comprehensively evaluate the bacterial diversity in samples from the CF-SpIT trial. This unique collection of within-patient matched samples originating from four lower airway niches (IS and two or three BAL samples) enabled us to interrogate the spatial topography of the lower airway microbiota in detail, quantify the ability of IS to correctly describe it and identify broad age-related microbiota trends.

2. Methods

2.1. Study design and participants

CF-SpIT is a prospective internally-controlled interventional trial performed at the Children’s Hospital for Wales, Cardiff, UK, in children with CF aged between 6 months and 18 years. Samples from sputum induction, and single-lobe, two-lobe, and six-lobe BAL were matched for within-patient comparison, with the aim of testing IS as an infection diagnostic. Results from CF-SpIT using conventional microbiology are published elsewhere [6]. This study is subject to Institutional Review by the Cardiff and Vale Research Review Service (CaRRS; Project-ID-11-RPM-5216) and approved by the South Wales Research Ethics Committee (11/WA/0334). This study is registered with the UK Clinical Research Network (14,615) and with the International Standard Randomised Controlled Trial Network Registry (12,473,810).

2.2. Respiratory sample collection and DNA extraction

Sputum induction and BAL procedures were performed as previously described [6]. BAL fluid from the right middle lobe was collected and labelled as BAL1, from the left lingula as BAL2, and from the combination of right upper lobe, right lower lobe, left upper lobe and left lower lobe as BAL3. All samples were divided immediately and one aliquot frozen at −80 °C within 30 min of collection. A DNA extraction protocol adapted from a previous study [6] was applied to respiratory samples as described in Supplementary Materials.

2.3. Quantification of total bacterial load was performed using a SYBR Green qPCR assay and primers targeting the 16S rRNA gene [10] to determine total bacterial load for removal of contaminant sequence reads (see Supplementary Materials).

2.4. 16S rRNA gene sequencing and bacterial diversity analysis

Sample library preparation and 16S rRNA gene sequencing was performed at the Cardiff University Genomics Research Hub. The V4-region of the 16S rRNA gene was amplified and sequenced as previously described [11], generating 250 bp paired-end reads on the Illumina MiSeq platform. Bioinformatic analysis was performed using Mothur [12] v1.39.5 using the MiSeq SOP pipeline. After removal of contaminant sequence reads, downstream statistical analyses were performed using R statistical software [13]. Microsoft Excel and SPSS. OTUs were consolidated into groups at the lowest level of taxonomic hierarchy assigned by Mothur (genus or family) as the 16S rRNA hypervariable regions lack resolution for species-level identification. Haemophilus, Pseudomonas, Staphylococcus, Enterobacteriaceae, Stenotrophomonas and Burkholderia were considered relevant CF lung pathogens. We acknowledge that this approach could lead to a mixture of different species (including ‘non-typical’ pathogens) being consolidated into these pathogen groups. See Supplementary Materials for full details. Bioinformatics scripts and R code for all analyses is available at https://github.com/Beky-Weiser/CFSpIT-16S-Microbiota-Analysis.

2.5. Data availability

Raw sequence data have been submitted to the European Nucleotide Archive under project number PRJEB34389.

3. Results

3.1. Patient demographics and sample sets

A total of 120 respiratory samples in 30 matched BAL1-BAL2-BAL3-IS sets were obtained from 28 paediatric patients aged between 1.1 and 17.7 years of age (Supplementary Table S1). Microbiota profiles were obtained for 116 respiratory samples which resulted in 26 matched sets of BAL1-BAL2-BAL3-IS samples and 4 matched sets with 2 BAL samples and 1 IS sample. Each individual possessed a unique microbiota profile (see Supplementary Figure S1).

3.2. Bacterial communities in IS samples were higher diversity than in BAL but overlapped in composition

The bacterial diversity in BAL samples was highly variable. This broad distribution of diversity was similar for niches BAL1, BAL2 and BAL3 (alpha diversity as determined by the Shannon index; Fig. 1a). The bacterial diversity of IS samples was less variable and significantly higher than BAL samples (Fig. 1a; P < 0.001). IS samples shared a baseline of ‘non-typical’ pathogens such as Prevotella, Veillonella and Neisseria, but also overlapped in composition with BAL1, BAL2 and BAL3 samples (beta diversity as determined by Bray-Curtis dissimilarity; Fig. 1b), indicating that other bacterial genera, including ‘typical’ pathogens such as Pseudomonas, Haemophilus and Staphylococcus, were shared between the different sample types.

3.3. Analysis of BAL samples revealed microbiota compartmentalisation in the lower airways

In order to further investigate the variation in bacterial diversity seen in BAL samples (Fig. 1), we initially limited the analysis to the 78 samples in the 26 matched BAL1-BAL2-BAL3 sets. Pathogen detection was evaluated using different relative abundance thresholds for any one BAL sample (Supplementary Figure S2a) or for all 3 BAL samples in a given sample set (Supplementary Figure S2b). Known pathogens (Haemophilus, Pseudomonas, Staphylococcus, Enterobacteriaceae, Stenotrophomonas and Burkholderia) were detectable at high prevalence with one or more pathogen detectable in 100% of BAL sample sets at the presence/absence level, and Pseudomonas was specifically detectable in 23/26 (88%) sample sets (Supplementary Figure S2a). Pathogen detection at increasing relative abundance thresholds remained high with 25/26 (96%) sample sets positive for at least one pathogen at relative abundance ≥5% (Supplementary Figure S2a). In total, 40 pathogens were identified at ≥5% relative abundance, although pathogen identification in matched BAL samples often differed. In 12/40 (30%) cases, the pathogen was present in one of three matched BAL niches, in 9/40...
are was relative group are the plot Neisseria (Clade of positive and Clades into (23%) Fig. 1. Bacterial diversity and community composition of BAL and IS sample types. BAL samples exhibit a wider variation in diversity than IS. Diversity was significantly higher for IS compared to BAL samples (**p < 0.001). (b) NMDS ordination of Bray-Curtis dissimilarity distances (beta diversity) for BAL and IS sample types. BAL samples exhibit similar overall community compositions, but samples across the group of individuals were widely distributed. IS samples clustered closely together and overlapped with all 3 BAL sample types. Points represent individual samples, ellipses are standard deviations of points scores for each sampling type and the top 7.5% genera/families based on total abundance across the dataset are shown.

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Fig. 2. Hierarchical cluster analysis of the microbiota in BAL samples and its association with age group. Hierarchical cluster analysis of Bray-Curtis dissimilarity distances was performed using Ward’s method. Based on a Bray-Curtis dissimilarity distance cut-off of 1.5, the 86 BAL samples segregated into 7 clades (Clades A-G). Clades A-D are pathogen-dominant, characterised by known CF pathogens. Clade E contains a mix of sample profile types, and clades F and G are diverse. The heatmap illustrates the relative abundance of the top 10 most abundant genera/families identified across the dataset for each BAL sample, with the gradient colour scale shown at the top right and the number of samples belonging to each clade at the bottom of the heatmap. Heatmap column annotations indicate the sample type and patient age and a key is given at the top right of the figure. Sample codes shown in green indicate sample sets with only 2 BAL samples.

(23%) it was present in two of the three niches and in 19/40 (47%) it was present in all three niches.

Hierarchical cluster analysis of all BAL microbiota profiles (n = 86) using Bray-Curtis dissimilarity distances revealed clades of related microbiota profiles (Fig. 2). The microbiota segregated into 7 broad clades, 5 of which contained samples that were characterised by the presence of known CF lung pathogens (Fig. 2; Clades A-E), and two of which exhibited high microbiota diversity and were largely pathogen free (Clades F and G). The pathogen positive clades were characterised by high relative abundance of Haemophilus (Clade A), Pseudomonas (Clade B), Staphylococcus (Clade C), Enterobacteriaceae (Clade D) and Stenotrophomonas (Clade E). The pathogen-negative diverse groupings were associated with the presence of Prevotella, Veillonella, Streptococcus and Neisseria, and segregated specifically into clades characterised by high relative abundance of Prevotella (Clade F) and Veillonella (Clade G), respectively.

Pathogen-dominated clades (Fig. 2; Clades A-E) were significantly associated with patient age (p = 0.001), with Haemophilus predominantly present in the younger age group (less than 6 years), and Pseudomonas, Staphylococcus and Enterobacteriaceae in the intermediate and older age groups (6–12 and 12–18 years). These associations were also apparent when NMDS was used to compare community composition between individuals in different age groups; microbiota profiles in younger children were often characterised by Haemophilus together with non-traditional CF genera Neisseria and Veillonella (Supplementary Figure S3). The microbiota in older children was characterised by Pseudomonas, Staphylococcus, Enterobacteriaceae and Stenotrophomonas (Supplementary Figure S3).
Matched BAL samples from the same individual only segregated into the same clade in hierarchical cluster analysis in 21/30 (70%) cases, suggesting heterogeneity in the lower airway microbiota in these children (Fig. 2). To comprehensively investigate the relatedness of microbiota profiles between samples taken from different niches in the same patient, Bray-Curtis dissimilarity distances between BAL samples within- and between-patients were compared (Fig. 3). The degree of similarity observed between matched BAL samples taken from the same patient was generally high (i.e. low Bray-Curtis dissimilarity values; Fig. 3). Overall, the within-patient Bray-Curtis scores (median 0.18; IQR 0.06–0.37) were lower than the between-patient Bray-Curtis scores (median 0.91; IQR 0.68–0.98). However, in 7/30 (23%) cases, within-patient Bray-Curtis scores were highly dissimilar, and in the range seen for between-patient sample comparisons (>0.68), highlighting a high level of disparity and suggesting compartmentalisation of the lower airway (Fig. 3; CF102, CF90, CFOP38, CF82, CF179, CF194 and CF198). The microbiota from different lobes was generally concordant in children of less than 5 years old, but appeared more compartmentalised in older children between the ages of 5.4 and 14.8 (Fig. 3).

3.4. **IS captured a meaningful representation of the lower airway microbiota in 80% of cases**

Having established that the lower airway microbiota from BAL sampling may be non-uniform across multiple compartments, we next compared microbiota from all matched IS and BAL samples (n = 120). The Bray-Curtis dissimilarity distance between BAL and IS pairs from each patient was used to define concordant (Bray-Curtis dissimilarity ≤0.5) and discordant (Bray-Curtis dissimilarity >0.5) microbiota profiles (Supplementary Table S2). Based on this analysis, 8 microbiota profile types were identified (Table 1). Examples of each microbiota profile type are given in Fig. 4 and the full set is displayed in Supplementary Figure S1.

In 12/30 (40%) matched sample sets where BAL profiles were concordant, IS captured the pattern of bacterial diversity seen in BAL with examples of both microbiota-diverse and pathogen-dominated states (Table 1, profile types 1 and 3). In a further 3/30 (10%) cases, BAL diversity profiles were discordant with one another, but IS microbiota profiles matched one or two of the BAL compartments (Table 1, profile 6). In 9/30 (30%) matched sample sets, IS was discordant with BAL by Bray-Curtis dissimilarity measures but contained similar taxa to BAL at different relative abundances, and identified the dominant taxon (Table 1, profile type 4) or the most prevalent taxa (Table 1, profile types 2 and 7). In 6/30 (20%) matched samples, IS diversity profiles were dissimilar to all matched BAL samples (Table 1, profile types 5 and 8).

To analyse this further, we assessed the ability of IS to capture the Top 5 and Top 10 genera present across matched 6-lobe BAL samples (BAL1+BAL2+BAL3). The top 5 and top 10 most prevalent genera respectively accounted for an average of 90.0% (standard deviation 9.1) and 97.0% (standard deviation 3.4) of the total bacterial abundance in any BAL sample. Using presence or absence as detection criteria, IS captured 86.2% (standard deviation 19.4) of the Top 5 and 78.8% (standard deviation 18.2) of the Top 10 genera found across the 26 sets of matched 6-lobe BAL samples.

3.5. **Identification of specific lower airway pathogens on IS was variable**

We next assessed the ability of IS to detect specific lower airway pathogens identified on 6-lobe BAL (BAL1+BAL2+BAL3) for the 26 complete matched sets. For this analysis, we arbitrarily defined a threshold of detection for any pathogen at ≥5% relative abundance on BAL to be clinically relevant. At this threshold, 25/26
Table 1
IS and BAL profile definitions based on comparison of Bray-Curtis dissimilarity distances and shared taxa between sample types.

<table>
<thead>
<tr>
<th>Profile type</th>
<th>BAL profile description</th>
<th>IS profile description</th>
<th>N(^\prime) sets</th>
<th>Figure S1 codes</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>BAL1, BAL2 and BAL3 concordant (Bray-Curtis dissimilarity distance ≤ 0.5)</td>
<td>Microbiota diverse</td>
<td>10</td>
<td>A1-A10</td>
</tr>
<tr>
<td>2</td>
<td>BAL1, BAL2 and BAL3 concordant (Bray-Curtis dissimilarity distance &gt; 0.5)</td>
<td>Pathogen dominated</td>
<td>2</td>
<td>B2-B3</td>
</tr>
<tr>
<td>3</td>
<td>BAL1, BAL2 and BAL3 discordant (Bray-Curtis dissimilarity distance ≤ 0.5 for ≥ 1 BAL)</td>
<td></td>
<td>3</td>
<td>B10-C2</td>
</tr>
<tr>
<td>4</td>
<td>BAL1, BAL2 and BAL3 discordant (Bray-Curtis dissimilarity distance &gt; 0.5 for ≥ 1 BAL)</td>
<td></td>
<td>3</td>
<td>C3-C5</td>
</tr>
<tr>
<td>5</td>
<td>BAL1, BAL2 and BAL3 discordant (Bray-Curtis dissimilarity distance ≤ 0.5 for ≥ 1 BAL)</td>
<td></td>
<td>2</td>
<td>C6-C7</td>
</tr>
<tr>
<td>6</td>
<td>BAL1, BAL2 and BAL3 discordant (Bray-Curtis dissimilarity distance &gt; 0.5 for ≥ 1 BAL)</td>
<td></td>
<td>3</td>
<td>C8-C10</td>
</tr>
</tbody>
</table>

The ‘Figure S1 codes’ column relates to the positions of the profiles belonging to each profile type in Supplementary Figure S1 which shows all 30 matched BAL-IS sets.

**Fig. 4.** Microbiota profiles of BAL1, BAL2, BAL3 and IS sample sets illustrating the 8 microbiota profile type definitions. Microbiota profiles are given for each matched sample set using relative abundance of the top 25 genera/families identified across the dataset. Samples are grouped by sample set number, and whether BAL samples are (a) concordant (≤ 0.5 Bray-Curtis dissimilarity), or (b) discordant (> 0.5 Bray-Curtis dissimilarity). Microbiota profile definition numbers are shown above each stacked bar chart and relate to Table 1. The taxa colour key is displayed at the right of the figure.

(96%) BAL sample sets were positive for a pathogen and all three BAL samples were positive in 20/26 sets (77%) (Supplementary Figure S2). Given that IS samples were of greater diversity than BAL samples (Fig. 1a) and consequently generally reported genera in lower relative abundances, we considered the presence of a pathogen on IS at any relative abundance to be potentially clinically important. At this presence/absence level, IS identified one or more pathogens in 25/26 (96%) samples. Sensitivities of IS to detect *Haemophilus, Pseudomonas* and *Staphylococcus* on BAL samples at ≥5% relative abundance were 100%, 73% and 79% respectively (see Supplementary Table S3 for further details). Unique and overlapping pathogen identification for matched samples is shown in Supplementary Figure S4.

**Discussion**

In this study we used samples collected in the CF-SpiT trial [6] to interrogate the applicability of molecular detection techniques in assessing lower airway microbiology in children with CF. This unique collection of within-patient, time-matched samples...
from four lower airway niches (BAL and IS) enabled us to study the spatial variation in lower airway microbiota in children with CF, and to investigate the role of microbiota analysis in defining meaningful lower airway infection.

Microbiota from matched BAL samples from the same individual generally showed similar bacterial diversity profiles. Certain individuals exhibited diverse microbiota and others showed established pathogen-dominated microbiota across all matched BAL samples. However, there were exceptions, where within the same individual, certain BAL compartments were diverse, whereas others showed pathogen-dominance. In pathogen-positive individuals, the pathogen was identified at 5% relative abundance in all three BAL niches in only 47% of instances, suggesting compartmentalisation of the lower airway microbiota is common in children. In a study of young children between 1 and 12 months old, microbiota analysis identified that BAL samples from the same individual were largely concordant [14]. Our study suggests that the microbiota from different lobes is generally concordant in children of less than 5 years old, but appears more compartmentalised in older children between the ages of approximately 5 to 15 years (Fig. 3). The lower airway compartmentalisation observed in this study potentially represents a transition stage in the evolution of the lower airway microbiota from the uniform balanced and diverse community seen in young children, to the uniform pathogen-dominated community seen in adults [15], and is therefore a key period where intervention may have greatest benefit.

Whilst we observed a general trend towards pathogen-dominance with increasing age, we identified individuals with compartmentalisation of the lower airway in children as young as 5 years of age. These findings suggest that evolution of the microbiota to pathogen-dominance in children with CF is not necessarily associated with chronological age, but could be associated with factors that impose selective pressure on the microbiota, such as infection, inflammation or antibiotic therapy. This raises the possibility of using microbiota composition as a biomarker of disease progression, to identify at an early stage, those children who are relatively accelerated in their evolution towards pathogen-dominance. To further establish this concept would require longitudinal surveillance of the evolving microbiota through childhood with regular, accurate sampling.

The CF-SpiT study validated induced sputum as an accurate, repeatable and reliable approach to sample the lower airway using conventional microbiology [6]. In this follow-on study, we have extended these findings by using culture-independent microbiota analysis. IS samples were more diverse and collected contributions from a wider respiratory niche than BAL samples, but there was clear overlap in composition of the bacterial communities detected by the different sample types. In 50% of individuals, bacterial composition and diversity of IS closely resembled all or at least one matched BAL sample (Table 1). In a further 30%, IS contained similar taxa to BAL but differed in the relative abundance of those bacteria present (Table 1), and performed well in a paediatric population where matched lower airway BAL samples themselves exhibit heterogeneity. From this analysis it is clear that the lower airway is a complex and complicated environment to describe, and capturing the full lung microbiota will require multiple sampling techniques. Longitudinal studies will help understand how IS performs in relation to identifying the emergence of pathogen-dominant lung infections.

Remarkably, in our analyses, DNA from known CF pathogens was present in 100% BAL sample sets. Culture-independent technologies are capable of detecting bacterial DNA at extremely low concentrations, and DNA from known pathogens may be detected where culture is negative [16,17]. This may represent early infection or low-level bystander, and presents a new problem in how we should define a clinically important infection using such sensitive technologies. We pragmatically defined a pathogen relative abundance threshold of ≥5% on BAL as representing a clinically meaningful lower airway infection, but there is currently no guidance as to what threshold of detection constitutes infection, or how microbiota analysis can be applied to the clinical management of polymicrobial infections such as those observed in CF. The long-term implications of low level pathogen carriage may become clearer through longitudinal sampling and relation to clinical outcomes.

Respiratory samples from children with mild disease are low biomass, and close to the threshold of reliable molecular detection. Analysis is therefore vulnerable to significant distortion by low biomass contamination [18] and decontamination protocols will be necessary (see Supplementary Materials for details of our decontamination protocols). In this study, we compared multiple time-matched samples with fine granularity and reassuringly found patterns replicated in multiple samples from the same individual, suggesting a genuine biological signal.

We have shown that in children with CF, the lower airway microbiota can be highly compartmentalised. IS in this study captured an informative representation of the lower airway microbiota in 80% of cases whereby validating it as an effective approach to sampling the lower airway using molecular detection techniques. IS sampling can be used to obtain frequent serial samples and is a candidate approach for future large-scale microbiota studies in children with CF, and in the clinical application of microbiota profiling in children.

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Role of the funding source

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Declaration of Competing Interest

The authors have no conflicts of interest to disclose.

CRediT authorship contribution statement

Rebecca Weiser: Conceptualization, Methodology, Software, Validation, Formal analysis, Investigation, Data curation, Writing – original draft, Writing – review & editing, Visualization, Project administration, Funding acquisition. Juliette Oakley: Validation, Formal analysis, Investigation. Katherine Ronchetti: Methodology, Investigation, Writing – review & editing, Project administration. Jo-Dee Tame: Methodology, Investigation, Writing – review & editing, Project administration. Sven Hoehn: Methodology, Software, Validation, Investigation, Data curation, Writing – review & editing, Project administration. Tomasz P. Jurkowski: Methodology, Software, Validation, Resources, Writing – review & editing, Supervision, Project administration. Eshwar Mahenthiralingam: Conceptualization, Methodology, Validation, Resources, Writing – original draft, Writing – review & editing, Supervision, Project administration, Funding acquisition. Julian T. Forton: Conceptualization, Methodology, Validation, Formal analysis, Investigation, Resources,
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Supplementary materials

Supplementary material associated with this article can be found, in the online version, at doi:10.1016/j.jcf.2022.01.006.

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